SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0104
Estimated average burden hours per response:	0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name **and** Ticker or Trading Symbol
PRUDENTIAL FINANCIAL INC (Last) (First) (Middle) 751 BROAD ST (Street) NEWARK NJ 07102 (City) (State) (Zip)	11/17/2022	ClearBridge MLP & Midstream Total Return Fund Inc. [CTR]

4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)
Director	X	10% Owner	
Officer (give title below)		Other (specify below)	

6. Individual or Joint/Group Filing (Check Applicable Line)

- Form filed by One Reporting Person
- X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Series E Mandatory Redeemable Preferred Stock	366,667	I(1)	By The Prudential Insurance Company of America, a wholly-owned subsidiary of the Reporting Person

Table II - Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

1. Name and Address of Reporting Person*
PRUDENTIAL FINANCIAL INC
(Last) (First) (Middle)
751 BROAD ST
(Street)
NEWARK NJ 07102
(City) (State) (Zip)

1. Name and Address of Reporting Person*
PRUDENTIAL INSURANCE CO OF AMERICA
(Last) (First) (Middle)
751 BROAD STREET
(Street)
NEWARK NJ 07102
(City) (State) (Zip)

Explanation of Responses:

1. Preferred stock owned directly by The Prudential Insurance Company of America, a ten percent owner of a class, and indirectly owned by Prudential Financial, Inc., its parent holding company.

/s/ By Richard Baker, Second Vice President, Prudential Financial, Inc. — 11/28/2022

/s/ By Brittany Braden, Vice President, The Prudential Insurance Company of America — 11/28/2022

** Signature of Reporting Person — Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.