

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 13F

FORM 13F COVER PAGE

OMB APPROVAL

OMB Number:	3235-0006
Estimated average burden hours per response:	23.8

Report for the Calendar Year or Quarter Ended: 09-30-2020

Check here if Amendment ☒ Amendment Number: 2

This Amendment (Check only one.): ☐ is a restatement.

☒ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: PRUDENTIAL FINANCIAL INC

Address: 751 BROAD ST

NEWARK, NJ 07102

Form 13F File Number: 028-10077

CRD Number (if applicable):

SEC File Number (if applicable):

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Richard Baker

Title: Second Vice President

Phone: 973-802-6691

Signature, Place, and Date of Signing:

/s/ Richard Baker

Newark, NJ

06-14-2024

[Signature]

[City, State]

[Date]

This amended filing reflects a revised listing of 13F securities which takes into account 13F securities for PGIM Wadhwani LLP.

Report Type (Check only one.):

☒ 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

☐ 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

☐ 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers: 1

Form 13F Information Table Entry Total: 5

Form 13F Information Table Value Total: 46,308,698

(round to
nearest dollar)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
34	028-23774	PGIM Wadhwani LLP

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FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5			COLUMN 6	COLUMN 7	COLUMN 8		
			VALUE	SHRS OR	SH/	PUT/	INVESTMENT	OTHER	VOTING AUTHORITY		
NAME OF ISSUER	TITLE OF CLASS	CUSIP	(to the nearest dollar)	PRN AMT	PRN	CALL	DISCRETION	MANAGER	SOLE	SHARED	NONE
SELECT SECTOR SPDR TR	SBI HEALTHCARE	81369Y209	20,053,858	190,120	SH		DFND	34	190,120	0	0
SELECT SECTOR SPDR TR	SBI CONS STPLS	81369Y308	7,836,866	122,260	SH		DFND	34	122,260	0	0
SELECT SECTOR SPDR TR	SBI CONS DISCR	81369Y407	4,230,084	28,780	SH		DFND	34	28,780	0	0
SELECT SECTOR SPDR TR	SBI INT-INDS	81369Y704	1,251,695	16,260	SH		DFND	34	16,260	0	0
SELECT SECTOR SPDR TR	TECHNOLOGY	81369Y803	12,936,195	110,850	SH		DFND	34	110,850	0	0